

# INVESTMENT ACCOUNT APPLICATION FORM



**Wealth Management**  
Plant where your money grows

## Terms and Conditions applicable to Investment Services

- I am / We are aware that Mutual Fund transactions executed through my/ our Investment Account with Equitas Small Finance Bank (ESFB) will be routed through NSE Mutual Fund Platform (NMF II platform) of National Stock Exchange of India Ltd (NSE) and by signing this Registration form, I/We confirm our acceptance to register ourselves under NSE's NMF II Platform and abide by the terms and conditions, as may be prescribed by NSE in this regard from time to time.
- I/We understand that NMF II is a platform being used to route Mutual Fund transactions from Equitas Small Finance Bank as Distributor to respective Asset Management Companies (AMCs) and Registrar and Transfer Agent (RTAs). I/We hereby undertake to indemnify NSE against any loss or damage caused, pursuant to the actions done by NSE in good faith, based on the order feed from Equitas Small Finance Bank, or for any other actions done for facilitating the transactions through NMF II.
- I / We confirm that all information provided by me/ us in connection with my/our investor account is true and correct and I/ We acknowledge and undertake that I am /we are responsible for the information provided by Equitas Small Finance Bank to NSE in connection with my / our Investor Account and further confirm that neither ESFB nor NSE will not be responsible or liable for any loss or claim or liability that may arise on account of incorrect / erroneous data / information provided by me/us.
- I/We authorise NSE to collect the following data /information pertaining to my/our Mutual Fund investments from all Asset Management Companies (AMCs) and their respective Registrar and Transfer Agents with whom I/We transact. The data / information authorised to be collected are:
  - Distributor Wise transaction data for past, present and future transactions carried out by me/ us through various transaction platforms including the transaction request submitted at any point of acceptance of the AMCs subject to the condition that distributor is registered with NSE NMF II platform.
  - Scheme wise consolidated unit balance available in my/our accounts as and when required.
- I am / we authorise the bank to use my/our Bank account details including Nomination for the purpose of registration relating to Investment Services. I am / we are also aware that we are at liberty to change or modify the nominee details under this service. I / We have no objection on the bank using my name as per CVL KRA / PAN / Any other Govt. record for this purpose.
- I am / we are aware that the Bank is providing distribution of mutual funds strictly on a non-risk participation basis
- Investment Services will come at a charge of Rs.100/- per quarter.

## For Availing the facility, customer should have valid MF KRA

<b>Place of Birth</b>	<b>Annual Income</b>
	<input type="checkbox"/> Below 5 lacs <input type="checkbox"/> >=5 lacs <10 lacs <input type="checkbox"/> >=10 lacs <25 lacs <input type="checkbox"/> >=25lacs
<b>PAN Number*</b>	<b>Account Number** (Not Applicable for new accounts)</b>
<b>Client Signature</b>	<b>Client Name*</b>

\*Mandatory for all clients

\*\* Mandatory for existing Equitas Bank clients

Checklist (for office use only, to be signed by MSS/CSO)

Bank Account name is same as PAN Card	
Email id is available in Bank Account	
Nomination is available in Bank Account	
Valid MF KRA as per www.cvlkra.com	

